IAP15 Rec'd PCT/PTO 2 7 SEP 2006



PATENT Attorney Docket No. FIL-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

von Spreckelsen et al. CONFIRMATION NO.:

7288

SERIAL NO.:

10/567,891

GROUP NO.:

3727

FILING DATE:

February 8, 2006

EXAMINER:

Not yet assigned

TITLE:

Opening Devices for Foil Closures

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 25th day of September, 2006.

Mail Stop Amendment Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Submitted herewith is/are:

- 1) Transmittal Form (1 pg.);
- 2) Supplemental Information Disclosure Statement (2 pgs.);
- 3) Supplemental Form PTO-1449 (1 pg.);
- 4) IDS Citations (B4-B6);
- 5) Notification Under 37 C.F.R. §1.28(c) (3 pgs.)
- 6) Fee Transmittal (1 pg.);
- 7) Check in the amount of \$500.00;
- 8) Certificate of First Class Mailing (1 pg.); and
- 9) Return Receipt Postcard.

| 4208 | | | 10/567,891 | | | |
|--|---|---|---|--|--|--|
| SEP 2.7 2006 | Filing Date | | February 8, 2006 | | | |
| SEF 2 1 2000 m | First Named | | von Spreckelsen | | | |
| TRANSMITTAL G | | nit | 3727 | | | |
| (A) PA | Examiner Na | ame | Not yet assigned | | | |
| FORM | Attorney Do | cket No. | FIL-001 | | | |
| | Patent No. | | Not applicable | | | |
| | Issue Date | | Not applicable | | | |
| ENCLOSURES (check all that apply) | | | | | | |
| Fee Transmittal Form (1 pg.) | Copy of Notice to I Application | File Missing Parts of | Notice of Appeal to Board of Patent Appeals and Interferences | | | |
| ☑ Check Attached(in the amount of \$500.00)☐ Copy of Fee Transmittal Form | Formal Drawing(s) |) | ☐ Appeal Brief (in triplicate) | | | |
| Amendment/Response | Request For Continued Examination (RCE) Transmittal | | ☐ Status Inquiry | | | |
| Preliminary After Final Affidavits/declaration(s) Letter to Official Draftsperson | Power of Attorney (Revocation of Prior Powers) | | ✓ Return Receipt Postcard ✓ Certificate of First Class Mailing under 37 C.F.R. 1.8 | | | |
| including Drawings [Total Sheets] | ☐ Terminal Disclaimer | | Certificate of Facsimile Transmission under 37 C.F.R. 1.8 | | | |
| ☐ Petition for Extension of Time | Executed Declaration and Power of Attorney for Utility or Design Patent | | Additional Enclosure(s) (please identify below) | | | |
| Supplemental Information | Application | | Notification Under 37 C.F.R. §1.28(c) (3 pgs.) | | | |
| Disclosure Statement (2 pgs.) Supplemental Form | Small Entity Stater | ment | 3 () (- PB-) | | | |
| PTO-1449 (1 pg.) Copy of IDS Citations (B4-B6) | CD(s) for large tab | le or computer | | | | |
| Certified Copy of Priority Document(s) | Amendment After | Allowance | | | | |
| Sequence Listing submission Paper Copy/CD Computer Readable Copy Statement verifying identity of above | I • | Request for Certificate of Correction Certificate of Correction (in duplicate) | | | | |
| CORRESPONDENCE ADDRESS | | SIGNATURE BLOC | | | | |
| Direct all correspondence to: Patent Administrator Kirkpatrick & Lockhart Nicholson Graham LLP State Street Financial Center One Lincoln Street Boston, MA 02111-2950 Tel. No.: (617) 261-3100 Fax No.: (617) 261-3175 | | Date: September 25, 200 Reg. No. 58,343 Tel. No.: (617) 261-321 Fax No.: (617) 261-317 | Karen A. Schouten Attorney for Applicant(s) | | | |

Complete if Known Application Serial Number 10/567,891 **EE**TRANSMITTAL Filing Date February 8, 2006 First Named Inventor FY 2006 von Spreckelsen Group Art Unit 3727 **Examiner Name** Not yet assigned Attorney Docket No. FIL-001 METHOD OF PAYMENT FEE CALCULATION (continued) ent Enclosed: 3. ADDITIONAL FEES Check Money Order Other Large Small Entity Entity The Commissioner is hereby authorized to credit Fee Fee Fee Description Fee Paid or charge any fee indicated below for this submission to **(S)** (\$) Deposit Account No. 50-1721. Required Fees (copy of this sheet enclosed). 130 65 Surcharge - late filing fee or oath X Additional fee required under 37 CFR 1.16 and 25 Surcharge - late provisional filing fee 50 1.17. or cover sheet Overpayment Credit. 130 130 Non-English specification 3. Applicant claims small entity status. 2,520 2,520 Request for ex parte reexamination **FEE CALCULATION** 120 60 Extension for reply within first month 1. FILING/SEARCH/EXAM/SIZE FEES 450 225 Extension for reply within second Large Entity 1020 510 Extension for reply within third month Fee (\$) Fee Description Fee Paid 1590 795 Extension for reply within fourth month 2160 1080 Extension for reply within fifth month 300 Utility filing fee 500 250 Notice of Appeal 500 Utility search fee 500 250 Filing a brief in support of an appeal 200 Utility exam fee 1000 500 Request for oral hearing 250 Utility Size fee (each add'l 50 pgs. over 100) 400 400 Petitions to the Commissioner (Gp. I) Design filing fee 200 200 200 Petitions to the Commissioner (Gp. II) 100 Design search fee 130 130 Petitions to the Commissioner (Gp. III) 130 Design exam fee 180 180 Submission of Information Disclosure 250 Design size fee (each add'1 50 pgs. over 100) Statement 395 790 Filing a submission after final rejection (37 CFR 1.129(a)) Number Number Rate Amount 790 395 For each additional invention to be Filed Extra examined (37 CFR 1.129(b)) Total Claims - 20 = x \$ 50.00 =100 100 Certificate of Correction for applicant's error Independent 130 65 Submission of Terminal Disclaimer Claims - 3 = x \$200.00 =Other fee (Specify) Deficiency of fees owed as a large 500.00 entity pursuant to attached Notification Under 37 C.F.R. §1.28(c) Other fee (Specify) ☐ Multiple Dependent Claim(s), if any \$360.00 =TOTAL: SMALL ENTITY DISCOUNT: SUBTOTAL (1) 0.00 2. AMENDMENT CLAIM FEES Claims Highest No. Present Rate Fee Paid SUBTOTAL (3) (\$) 500.00 Remaining Previously Extra After Amend. Paid For Total x \$ 50.00 =SUBTOTAL (1) 0.00 Indep. x \$200.00 =SUBTOTAL (2) 0.00 First Presentation of Multiple Dep. Claim + \$360.00 =SUBTOTAL (3) 500.00 SMALL ENTITY DISCOUNT: (\$)0.00SUBTOTAL (2) TOTAL (\$) 500.00 **CORRESPONDENCE ADDRESS** SIGNATURE BLOCK Direct all correspondence to: Respectfully submitted, Patent Administrator Date: September 25, 2006 Kirkpatrick & Lockhart Nicholson Reg. No.: 58,343 Karen A. Schouten Graham LLP Tel. No.: (617) 261-3216 Attorney for the Applicants State Street Financial Center Fax No.: (617) 261-3175 Kirkpatrick & Lockhart Nicholson One Lincoln Street Graham LLP

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Opening Devices for Foil Closures

NOTIFICATION UNDER 37 C.F.R. § 1.28(c)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

- 1. On February 8, 2006, a small entity assertion was first made in the above-identified application.
- 2. This assertion of small entity status and the payment of fee as a small entity was made in good faith.
- 3. It is now believed that Applicants' status as a small entity may have been established in error.

4. Itemization of the fees paid as small entity is as follows:

| Fee(s) Paid as a Small Entity | Fee Actually Paid as a Small Entity | Current Fee | Deficiency Owed |
|--|---|-------------|--------------------|
| Filing fee paid on February 8, 2006 | \$500.00 | \$1,000.00 | \$500.00 |
| Fee for excess claims (over 20) paid on | <u>\$</u> | \$ | \$ |
| Fee for excess independent claims (over 3) paid on | <u>\$</u> | \$ | \$ |
| | <u>\$</u> | <u>\$</u> | \$ |
| ☐ The issue fee paid on | \$ | \$ | \$ |
| maintenance fee paid (First, second or third) on | <u>\$</u> | <u>\$</u> | \$ |
| Completion Fees paid on | \$ | <u>\$</u> | \$ |
| Other | \$ | \$ | \$ |

Application No.10/567,891 Attorney Docket No. FIL-001

| 5. | The total deficiency which may be owed is \$500.00 | | | | |
|----|--|--|--|--|--|
| | Attached is a | | | | |
| | ☐ Charge any additional fees required Deposit Account No. 50-1721. | by this paper or credit any overpayment to Respectfully submitted, | | | |
| | September 25, 2006 No. 58,343 | Karen A. Schouten | | | |
| | o.: (617) 261-3216 o.: (617) 261-3175 | Kirkpatrick & Lockhart Nicholson Graham LLP State Street Financial Center One Lincoln Street Boston, MA 02111-2950 | | | |